

ORDINANCE NO. CRS/11/Ph.D./1 – CRS/13/929/M.Phil./1
Updated vide notification No. F.1001/12/2019(Acad.) dated 31/01/2019
Revised M.Phil./Ph.D. ordinance based on UGC regulation (2016 & 2018)
Approved by Academic Council
NOIDA INTERNATIONAL UNIVERSITY

The Candidates seeking admission to M. Phil. / Ph. D. programmes in different subjects in Noida International University(NIU) shall be admitted as per rules given below:-

1. Eligibility criteria for admission to the M. Phil. / Ph. D. Programmes:

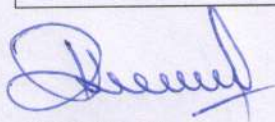
1.1 Candidates for admission to the M.Phil/Ph.D. programme shall have a Master's degree or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of education institutions.

1.2 A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer) differently-abled and other categories of candidates as per the decision of the Commission from time to time, or for those who had obtained their Master's degree prior to 19th September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.

1.3 Candidates who have cleared the M. Phil. Course work with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) and successfully completed the M. Phil. Degree shall be eligible to proceed to do research work leading to the Ph. D. Degree in the same Institution in an integrated programme. A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/differently-abled and other categories of candidates as per the decision of the commission from time to time.

1.4 A person whose M. Phil. Dissertation has been evaluated and the viva voce is pending may be admitted to the Ph. D. Programmes, Subject to successful completion of the M. Phil degree vis-à-vis other aforesaid conditions.

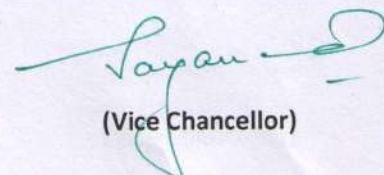
1.5 Candidates possessing a Degree considered equivalent to M. Phil. Degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to Ph. D. Programme.



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2. Duration of the Programme:

2.1 M. Phil. Programme shall be for a minimum duration of two (2) consecutive semesters / one year and a maximum of four (4) consecutive semesters / two years.

2.2 Ph. D. programme shall be for a minimum duration of three years, including course work and a maximum of six years.

2.3 Extension beyond the above one year in M.Phil and three years in Ph. D., Semester fees will be applicable.

2.4 The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of two years for Ph. D. in the maximum duration. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of M. Phil. / Ph.D. for up to 240 days.

3. Procedure for admission:

3.1 Candidates will be admitted to M. Phil / Ph. D. programmes through an Entrance Test conducted at the level of University. Applications shall be invited soon after declaration of results of Master Degree examinations of NIU as per dates prescribed.

3.2 The candidates who have qualified NET/ SLET/ GATE/ holder of teacher fellowship /M.Phil. degree passed are also required to qualify the University entrance test, if number of candidates are more than seats available. However these candidates shall have preference over those mentioned under 3.1 above.

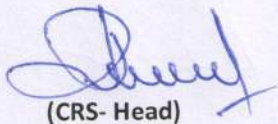
3.3 University will decide on an annual basis through their academic bodies a predetermined and manageable number of M. Phil. and/or Ph. D. scholars to be admitted depending on the number of available Research Supervisors and other academic and physical facilities available, keeping in mind the norms regarding the scholar- teacher ratio (as indicated in Para 4.5), laboratory, library and such other facilities.

3.4 University will notify well in advance in its website and through advertisement in at least two (2) national newspapers, of which at least one (1) shall be in the regional language, the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination centre (s) where entrance test (s) shall be conducted and all other relevant information for the benefit of the candidates.

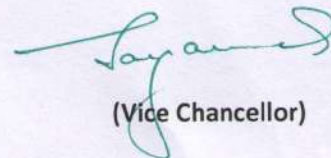
3.5 The admission shall be based on the criteria notified by the University, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory bodies concerned, and taking into account the reservation policy of the Central/State Government from time to time.

3.6 University will maintain the list of all the M. Phil. / Ph. D. registered students on its website on year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name and designation of his/her supervisor/co-supervisor, date of enrolment/registration.

3.7 The eligible candidates will be called for an entrance test on the notified date and time. Subsequently a personal interaction with the expert panel will be held to judge research aptitude in the candidate and also to consider applicant's proposed research area(s). Entrance test shall be qualifying with qualifying marks as 50%. The syllabus of Entrance test consists of 50% of research methodology and 50% shall be topic specific.


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4. Allocation of Research Supervisor:

The Departmental Research Committee (DRC) will scrutinize the applications of the enrolled students and allot the eligible supervisor(s) based on the area of Research Work mentioned in the application form subject to approval of Research Development Centre (RDC) under chairmanship of the Vice Chancellor. The allocation of seats with the supervisors will be as per number of seats available with them as mentioned at Para 4.5 below. DRC includes all approved supervisors of the department under the convenership of HOD. RDC includes all Deans/Directors and all Professors of the concerned Department. Director CRS shall act as member secretary. 50% of the members in both DRC and RDC shall form the quorum.

4.1 Any Regular Professor of the university with at least five research publications in refereed journals and any regular Associate/ Assistant Professor of the university with Ph.D. Degree and at least two research Publications in refereed journals may be recognized as research supervisor. Provided that in area/Discipline where there is no or only a limited number of refereed journals, the University may relax the above condition for recognition of a person as Research supervisor with reasons recorded in writing.

4.2 Only a full time regular teacher of the university can act as a supervisor. The external supervisor is not allowed. However, co-supervisor can be allowed in inter-disciplinary areas from other departments of the university or from other related institutions with the approval of the University authority.

4.3 The allocation of research supervisor for a selected research scholar shall be decided on the recommendation of the department concerned depending on the number of scholars per research supervisor, the available specialization among the supervisors and research interests of the scholars as indicated by them at the time of interview/viva voce.

4.4 In case of topics which are of inter-disciplinary nature where the department concerned feels that the expertise in the department has to be supplemented from outside, the department may appoint a research supervisor from the department itself, who shall be known as the research supervisor, and a co-supervisor from outside the department/schools on such terms and conditions as may be specified and agreed upon by the consenting school.

4.5 A research supervisor/co-supervisor who is a professor, at any given point of time, cannot guide more than three (3) M. Phil. and Eight (8) Ph. D. scholars. An associate professor as research Supervisor can guide up to maximum of two (2) M. Phil. and six (6) Ph. D. Scholar and assistant professor as research supervisor can guide up to maximum of one (1) M. Phil. and four (4) Ph. D. scholars.

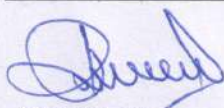
4.6 In case of relocation of an M. Phil. /Ph. D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the university to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the NIU/Supervisor or any funding agency such a case will be handled on case to case basis as per norms of both Universities.

5. Course Work:

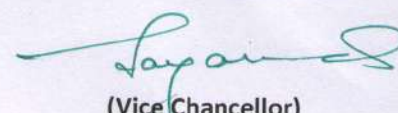
All M.Phil/Ph.D. students shall be required to undertake course-work for a minimum period of one semester.

5.1 The credit assigned to the M. Phil and Ph. D. course work shall be a minimum of 08 credits and a maximum of 16 credits.

5.2 The course work shall be treated as prerequisite for M.Phil/Ph.D. preparation. A minimum of four credits


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each shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc. Other courses shall be advanced level courses preparing the students for M.Phil./Ph.D. degree.

5.3 All courses prescribed for M.Phil. and Ph.D. course work shall be in conformity with the credit hour instructional requirements and shall specify content, instructional and assessment methods. They shall be duly approved by the authorized academic bodies.

5.4 The Department where the scholar pursues his/her research shall prescribe the course(s) to him/her based on the recommendations of the DRC.

5.5 All candidates admitted to the M.Phil. and Ph.D. programmes shall be required to complete the course work prescribed by the Department during the initial first semester.

5.6 Candidates already holding M. Phil. degree and admitted to the Ph.D. programme, or those who have already completed the course work in M.Phil. and have been permitted to proceed to the Ph.D. in integrated course, may be exempted by the Department from the Ph.D. course work.

5.7 Grades in the course work, including research methodology courses shall be finalized after a combined assessment by the Research Advisory Committee and DRC. The final grades shall be declared accordingly

5.8 An M. Phil./ Ph. D. scholar has to obtain a minimum of 55% of marks or its equivalent grade in the UGC 7-point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) in the course work in order to eligible to continue in the programme and submit the dissertation/thesis.

6. Research Advisory Committees and its Functions:

Research Advisor Committee (RAC):

The Research Advisor Committee (RAC) shall consist of the all approved supervisors specialised in the area of topic under the convenorship of supervisor.

This Committee shall have the following responsibilities:

6.1 To review the research proposal and finalize the topic of research and prepare synopsis for the same.

6.2 To guide the research scholar to develop the study design and methodology of research and identify the course (s) that he/she may have to do.

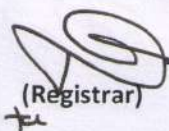
6.3 To periodically review and assist in the progress of the research work of the research scholar.

6.4 A research scholar shall appear before the Research Advisory Committee once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six monthly progress reports shall be submitted by the Research Advisory Committee to the DRC for further action with a copy to the research scholar.

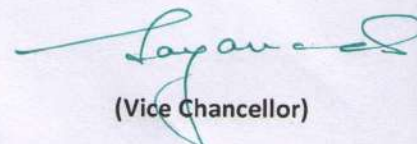
6.5 In case the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend to the University with



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specific reasons for cancellation of the registration of the research scholar.

6.6 All research students, both M.Phil and Ph.D. shall mark attendance in the department for a minimum period of one year in case of M.Phil. and three years in case of Ph.D. Further their participation in all the research activities/conferences/seminars etc. in the department is mandatory.

7. Evaluation and Assessment Methods, minimum standards/credits for award of the degree, etc.:

7.1 The overall minimum credit requirements, including credit for the course work, for the award of M.Phil. degree shall not be less than 24 credits.

7.2 Upon satisfactory completion of course work, and obtaining the marks/grade prescribed in Para no. 5.8, as the case may be, the M.Phil./Ph.D. scholar shall be required to undertake research work and produce a draft dissertation/thesis within a reasonable time, as stipulated by the NIU based on these Regulations. Before a candidate is finally registered for Ph.D. research, he/she is required to submit synopsis within two months from the date of last paper of course work to be considered for registration by RDC.

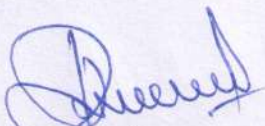
7.3 Prior to the submission of the dissertation/thesis, the scholar shall make a presentation in the Department before the DRC and Research Advisory Committee of the NIU which shall also be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft dissertation/thesis in consultation with the Research Advisory Committee.

7.4 M.Phil. scholars shall present at least one (1) research paper in a conference/seminar and Ph.D. scholars must publish at least one (1) research paper in refereed journal and make two paper presentations in conferences/seminars before the submission of the dissertation/thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints.

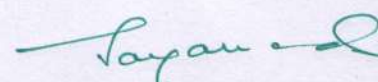
7.5 The Academic Council of the University shall evolve a mechanism using well developed software and gadgets to detect plagiarism and other forms of academic dishonesty. While submitting for evaluation, the dissertation/thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of NIU, or to any other institutions.

7.6 The M.Phil. dissertation submitted in specific format-annex-1, along with a certificate having completed requirement MSP (Minimum Standard and Procedures) as prescribed in Annexure.... by a research scholar, shall be, evaluated by his/her Research Supervisor and at least one external examiner who was never in the employment of the NIU. The Viva-Voce examination, based among other things, on the critiques given in the evaluation report, shall be conducted by both of them together, and shall be open to be attended by Members of the Research Advisory Committee/DRC, all faculty members of the Department, other research scholars and other interested experts/ researchers along with a certificate having completed requirement MSP (Minimum standard and procedure) as prescribed (Annexure 2).

7.7 The Ph. D. thesis submitted in specific format annex-3, along with a certificate having completed requirements for MSP (Minimum Standard and Procedures) by a research scholar, shall be evaluated by his/her Research Supervisor and at least two external examiners, who were never in employment of the NIU,


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of whom one examiner may be from outside the country. The viva-voce examination, based among other things, on the critiques given in the evaluation report, shall be conducted by the Research Supervisor and at least one of the two external examiners, and shall be open to be attended by Members of the Research Advisory Committee/DRC, all faculty members of the Department, other research scholars and other interested experts/researchers.

7.8 The public viva-voce of the research scholar to defend the dissertation/thesis shall be conducted only if the evaluation report(s) of the external examiner(s) on the dissertation/thesis is/are satisfactory and include a specific recommendation for conducting the viva-voce examination. If the evaluation report of the external examiner in case of M.Phil. dissertation, or any one of the evaluation reports of the external examiner in case of Ph.D. thesis, is unsatisfactory and does not recommend viva-voce, the University shall send the dissertation/ thesis to another external examiner out of the approved panel of examiners and the viva-voce examination shall be held only if the report of the latest examiner is satisfactory. If the report of the latest examiner is also unsatisfactory, the dissertation/ thesis shall be rejected and the research scholar shall be declared ineligible for the award of the degree.

7.9 The entire process of evaluation of M.Phil. dissertation/ Ph.D. thesis shall be within a period of six months from the date of submission of the dissertation/thesis.

7.10 **Panel of Experts:** Panel of experts not of below the rank of Professor for evaluation of M.Phil. and Ph.D. shall originate and submitted six months before along with abstract in case of Ph. D. as under:-

a. Supervisor shall submit a panel of six experts in case of Ph. D. and three experts in case of M. Phil. to the Head of the Department in a sealed cover.

b. Head of the Department shall forward the panel so received by adding names of two more experts in case of Ph. D. and one in case of M. Phil. and forward the same in sealed cover to Dean of Faculty/Director.

c. Dean of the Faculty/Director shall add two more names to the panel in case of Ph. D. and one case of M. Phil. and forward the same in sealed cover to Controller of Examination.

d. Controller of Examination shall get two names in case of Ph. D. and one in case of M. Phil. ticked, from the Vice Chancellor and get thesis evaluated from the experts with prior consent for evaluation.

e. Panel of experts shall be submitted with following information in each case.

i. Name of the expert.

ii. Designation of the expert as professor of.....(name of subject).

iii. Name of Institution with which expert affiliated.

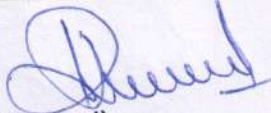
iv. Mailing Address.

v. Contact number.

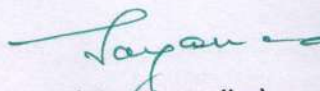
vi. E-mail Id.

8. Academic, administrative and infrastructure requirements:

University may access the required facilities of the neighboring Institutions/Colleges, or of those Institutions/Colleges /R&D laboratories/ Organizations which have the required facilities.


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9. Treatment of Ph.D. through Distance Mode/Part-time:

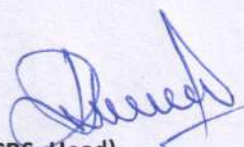
Part-time Ph.D. will be allowed provided all the conditions mentioned in the extant Ph.D. Regulations are met. The candidates who cannot complete their Ph.D. research work within three years on regular basis may be allowed after the regular period of three years to continue their research on part time basis if they apply in writing along with NOC from employees if working during next 1-3 years on year wise specific approval of extension. However, during the extended period their presence in all the seminars/conference or any research activity of the department are compulsory.

10. Award of M.Phil./Ph.D. degrees prior to Notification of these Regulations, or degrees awarded by foreign Universities:

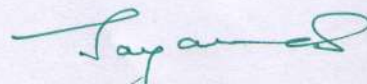
Award of degrees to candidates registered for the M.Phil./Ph.D. programme on or after July 11, 2009 till the date of Notification of these Regulations shall be governed by the provisions of the UGC (Minimum Standards and Procedure for Awards of M.Phil/Ph.D. Degree) Regulation, 2009.

11. Depository with INFLIBNET:

Following the successful completion of the evaluation process and before the announcement of the award of the M.Phil./Ph.D. degree(s), the University shall submit an electronic copy of the M. Phil. dissertation / Ph. D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/Colleges/Public.


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12. Cancellation of Registration:

The registration of a candidate of the M.Phil. / Ph.D. Programme shall be cancelled:-

- (i) If the candidate is found involved in an act of gross indiscipline, unlawful activities, plagiarism and/or any such activity that may endanger the peace in the University campus and academic atmosphere.
- (ii) Candidate failed to attend two consecutive meetings of DRC without prior permission of concerned Head of Department..
- (iii) Candidate fails to attend minimum number classes of the course work or failed to maintain minimum attendance in the respective departments as prescribed by the University in accordance with UGC.
- (iv) Candidate fails to submit the required University Semester fees within due time.
- (v) Documents/ information of the candidates found fake/ inappropriate at any point of time.

13. Progress Reports:

The candidate shall submit a progress report on the format prescribed (Annexure.....) every 6 months. Non-submission of Progress Report by the candidate in due time may lead to cancellation of registration.

14. Award of Ph.D. Degree:

- (i) After successfully clearing the viva-voce examination, the candidate shall be awarded Ph.D. degree after the approval of the Academic Council.
- (ii) Prior to the actual award of the degree, the University shall issue a provisional Certificate to the effect that the Degree is being awarded in accordance with the provisions of these UGC Regulations, 2016 if asked for.
- (iii) The award of Ph.D. degree can be withdrawn by the University in case the thesis submitted by the candidate is found to be a duplication of an old work or pirated research work at any stage in life.
- (iv) No research scholar shall join any other course of study or appear at any other examination conducted by any institutions.
- (iv) The approved thesis shall be published only after obtaining permission from the University and such publication shall state on the title page of the book itself that this was a thesis approved for the award of the Ph.D. degree of the Noida International University.

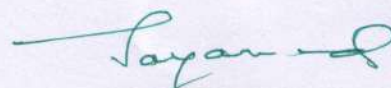
15. Transfer of Credit:

A candidate having completed atleast one and half year period as regular Ph.D. candidate in another recognized university can be allowed to be migrated to NIU in the respective Subject for completing research work leading to award of Ph.D. degree subject to the following conditions.

1. Completed all norms of eligibility explained above.
2. NOC from the university concerned to the effect that he/she completed not less than 75% attendance of the entire period of work.
3. Extent of work completed dully certified by the University from whom migration is sought.


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